

ORNEY'S DOCKET NO. M00925/70109 TJO

T AND TRADEMARK OFFICE IN THE UNITED STATES PAR

Applicant:

Jackie Y. Ying et al.

Serial No:

09/993,355

Conf. No.:

5789

Filed:

November 14, 2001

Title:

APR 192002 TO Y SOUR SYNTHESIS OF NANOMETER SIZED PARTICLES BY REVERSE

MICELLE MEDIATED TECHNIQUES

Examiner:

Not Yet Assigned

Art Unit:

1754

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, Washington, D.C. 20231 on the 8 day of April, 2002.

COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

Sir:

TRANSMITTAL LETTER

Transmitted herewith is/are the following documents:

Information Disclosure Statement $[\mathbf{x}]$

Form PTO-1449 [x]

Return Receipt Postcard $[\mathbf{x}]$

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

No fee is associated with the filing of these papers. If the fee is insufficient, the balance may be charged to Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully Submitted,

Jackie Y. Ying et al., Applicants

Timothy J. Oyer, Reg. No. 36,628 Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue

Boston, MA 02210-2211

(617) 720-3500

Docket No. M00925/70109 TJO

Dated: April <u>§</u>, 2002

xNDD

ATTORNEY'S DOCKET NO: M00925/70109 TJO

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Commissioner for Patents Washington, D.C. 20231

> STATEMENT FILED PURSUANT TO THE DUTY OF **DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98**

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case. No fee or certification is required.

PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

PART III: Remarks

Documents cited on the attached form PTO-1449 (modified) are enclosed unless otherwise indicated on the attached form PTO-1449 (modified). It is respectfully requested that:

Serial No.: 09/993,355 -2-Art Unit: 1754 Confirmation No.: 5789 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;

2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;

3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted, Jackie Y. Ying et al., Applicants

By:

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600 Atlantic Avenue

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Docket No. M00925/70109 TJO

Dated: April <u>8</u>, 2002

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